The Embedded Systems Experts

MICHAEL BARR

Embedded Software Expert

Electrical Engineer (BSEE/MSEE)

Experienced Embedded Software

Developer Named inventor on 3 patents

Consultant & Trainer (1999-present)

- Embedded Software <u>Process</u> and <u>Architecture</u> for reliability Various industries (e.g., pacemakers,
 - industrial controls)

Former Adjunct Professor

- 2 University of Maryland 2000-2003 (Design and Use of Operating
- 2 Systems) Johns Hopkins University 2012 (Embedded Software
 - Architecture)



BOOKS BY MICHAEL BARR



3 11/6/13

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"Embedded Systems"

- Electronics + software for a dedicated purpose
- Many billion more new embedded systems each year microwave ovens, digital watches, pacemakers, thermostats You are surrounded by them (like it or not; safe or not)

Embedded systems in cars

- Modern cars contain networks of embedded computers! Anti-lock brakes, airbags, speedometer, GPS, radio, ...
- 4 Some carmakers brag over 100 microprocessors inside!
- 4 Each headlight, each mirrorsy seat, ...

Access to Toyota's "electronic throttle" source code

- In a secure room in Maryland
- Subject to confidentiality agreements

For vehicle models with ETCS spanning ~2002-2010 model years

Camry, Lexus ES, Tacoma, and others

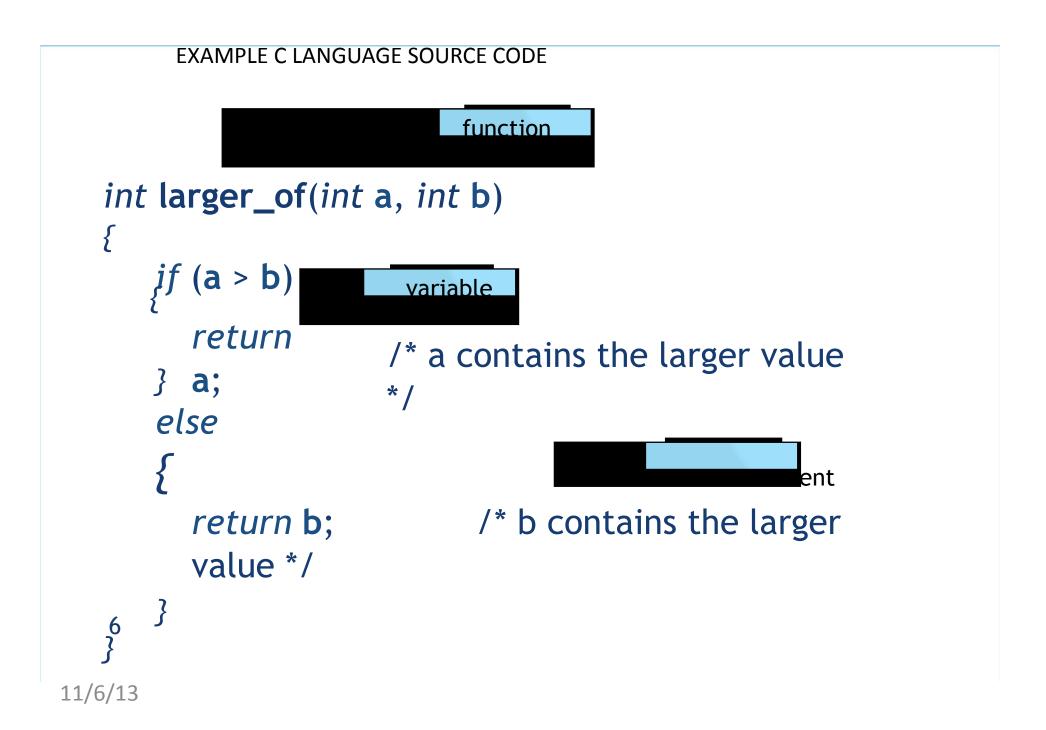
Approximately 18 months of calendar time with code

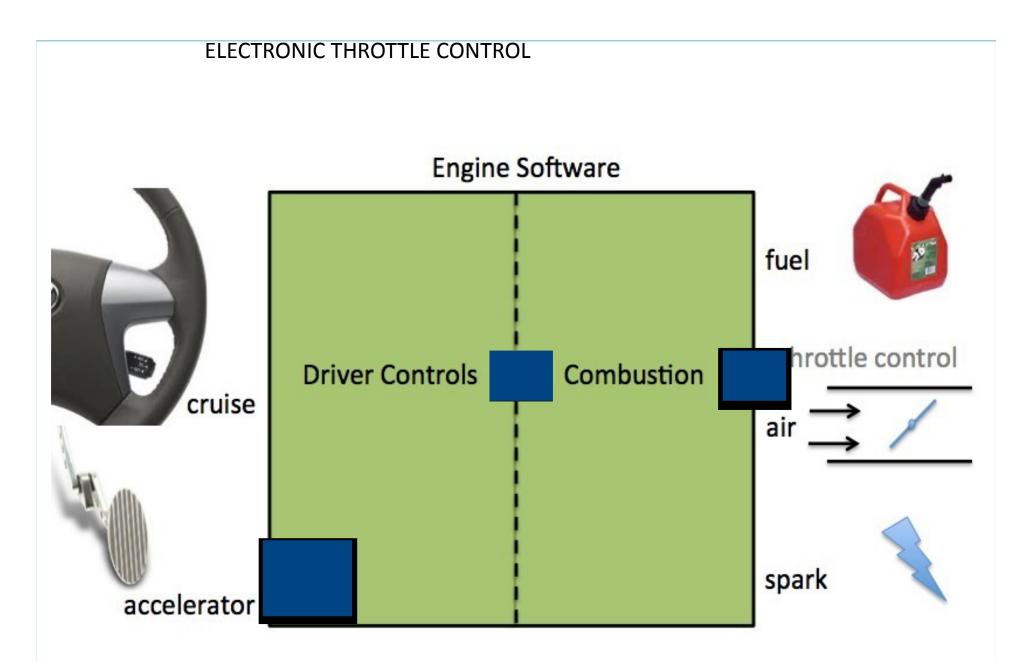
By a very experienced team of embedded systems experts NASA must reach a clear-cut conclusion by the end of August.

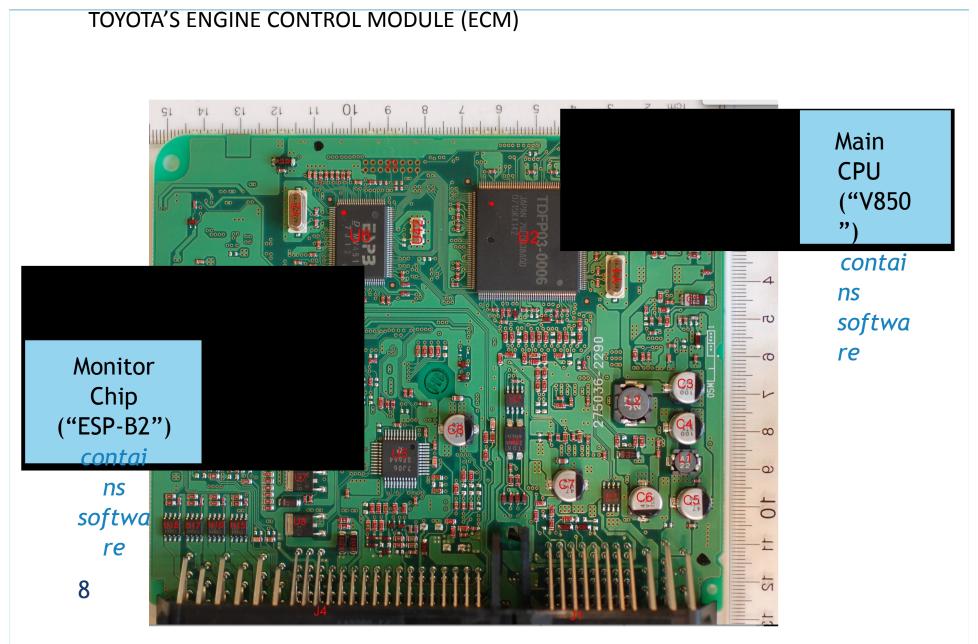
5 So they are under a fair amount of pressure.

TOY-MDL05951378 Y-MDL05951378P-0001

5 Building upon NASA's earlier source code review; digging 11/6/13 deeper







Not all embedded systems can kill or injure people ...

Those that can do harm are "safety-critical systems"

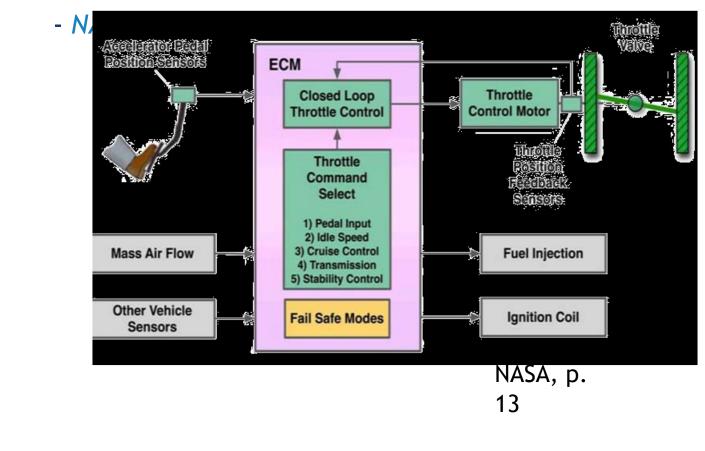
What could possibly go wrong?

- A glitch in the electronics (*random hardware faults will*
- happen) A bug in the software (any reasonably complex software has bugs) An unforeseen gap in the intended safety features
- Or all three: glitch activates bug and that slips thru safety gap

9 Toyota's Watchdog Supervisor 11/6/13 Redundancy and fault containment are key

ELECTRONIC THROTTLE CONTROL (ETCS)

"Toyota ETCS-i is an example of a safety-critical hard real-time system."



Toyota's ETCS source code is of unreasonable quality

Toyota's source code is <u>defective and contains bugs</u>

Including bugs that can cause unintended acceleration

Code quality metrics predict presence of additional bugs

Toyota's fail safes are defective and inadequate Barr St. John 11 <u>"House of cards" safety architecture</u>

- Random hardware and software faults are a fact of 11/6/13 lifo

UNINTENDED ACCELERATION (UA)

I use the same definition as NHTSA and NASA: "any degree of acceleration that the vehicle driver did not purposely cause"

¹ In this report, "unintended acceleration" refers to the occurrence of any degree of acceleration that the vehicle driver did not purposely cause to occur. Contrast this with the term "sudden acceleration incident," which refers to "unintended, unexpected, high-power accelerations from a stationary position or a very low initial speed accompanied by an apparent loss of braking effectiveness." *An Examination of Sudden Acceleration*, DOT-TSC-NHTSA-89-1 at v. As used here, unintended acceleration is a very broad term that encompasses sudden acceleration as well as incidents at higher speeds and incidents where brakes were partially or fully effective, including occurrences such as pedal entrapment by floor mats at full throttle and high speeds and incidents of lesser throttle openings at various speeds.

I also use the phrase "loss of throttle control" Throttle controls airflow, which controls engine power

12	Barr St. John
12	Report

NASA DID NOT RULE OUT UA BY SOFTWARE

The NESC team identified two hypothetical ETCS-i failure mode scenarios (as opposed to nonelectronic pedal problems caused by sticking accelerator pedal, floor mat entrapment, or operator misapplication) that could lead to a UA without generating a diagnostic trouble code (DTC): specific dual failures in the pedal position sensing system and a systematic software malfunction in the main central processor unit (CPU) that is not detected by the monitor system.

The second postulated scenario is a systematic software malfunction in the Main CPU that opens the throttle without operator action and continues to properly control fuel injection and ignition.

Because proof that the ETCS-i caused the reported UAs was not found does not mean it could not occur. However, the testing and analysis described in this report did not find that TMC

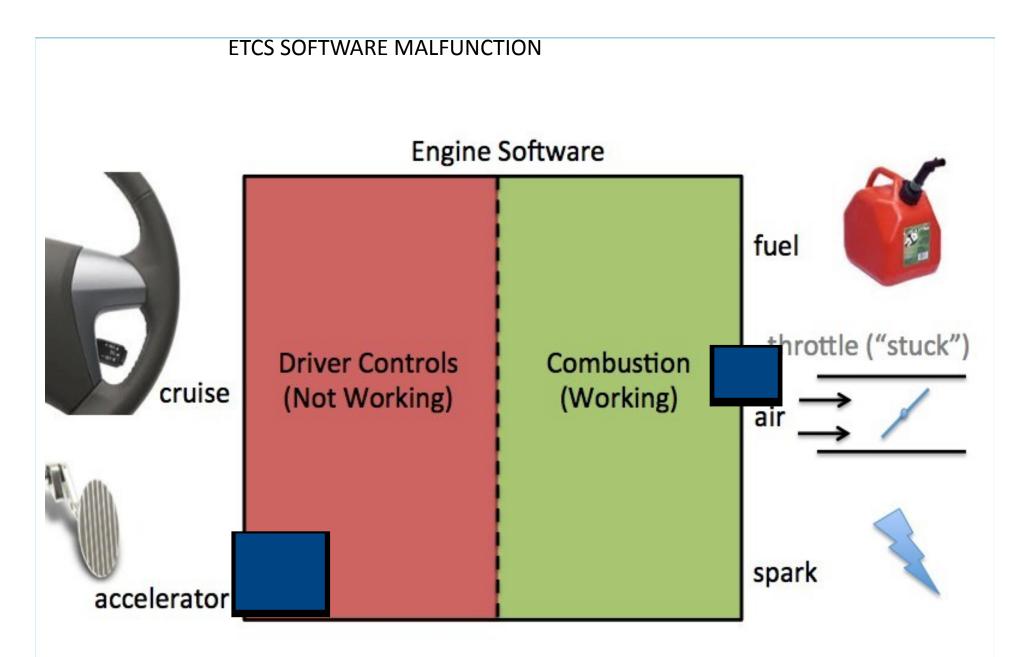
Due to system complexity which will be described and the many possible electronic hardware and software systems interactions, it is not realistic to attempt to "prove" that the ETCS-i cannot cause UAs. Today's vehicles are sufficiently complex that no reasonable amount of analysis or testing can prove electronics and software have no errors. Therefore, absence of proof that the ETCS-i has caused a UA does not vindicate the system. From calendar year 2005 to 2010 TMC

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2005 Camry L4 source code and in-vehicle tests confirm:

- Some critical variables are not protected from corruption Mirroring was not always done
 - NASA didn't know this (believed mirroring was always done)
 - No hardware protection against bit flips
 - >> NASA didn't know this (was told main CPU's RAM had EDAC)
- Sources of memory corruption are present
 - Stack overflow can occur
 - NASA didn't know this (was told stack less than half used)
- There are software buggarr St. John
- >> NASA found bugs (and Report roup has found others)

4



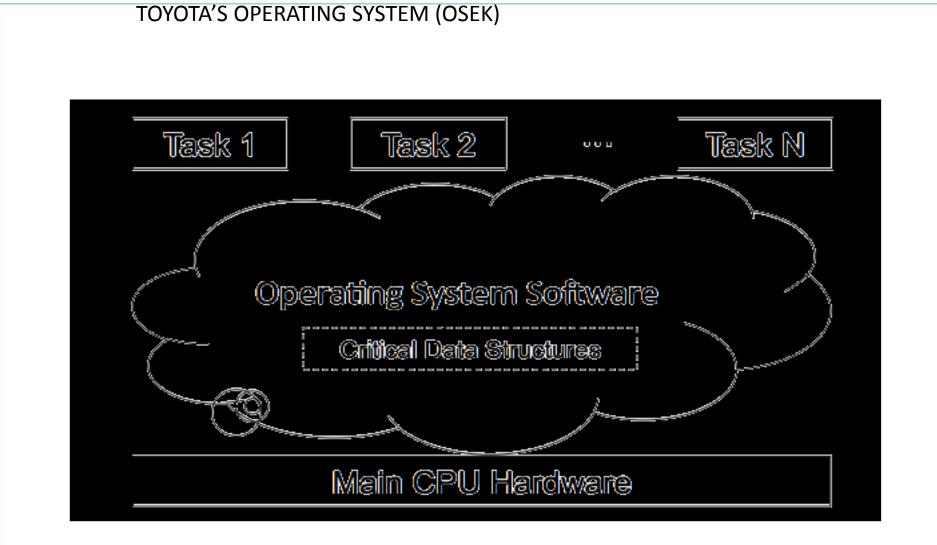
All kinds of embedded systems experience partial software malfunction from time-to-time

e.g., most other apps working, but phone calls go direct to voice mail "Have you tried rebooting it?"

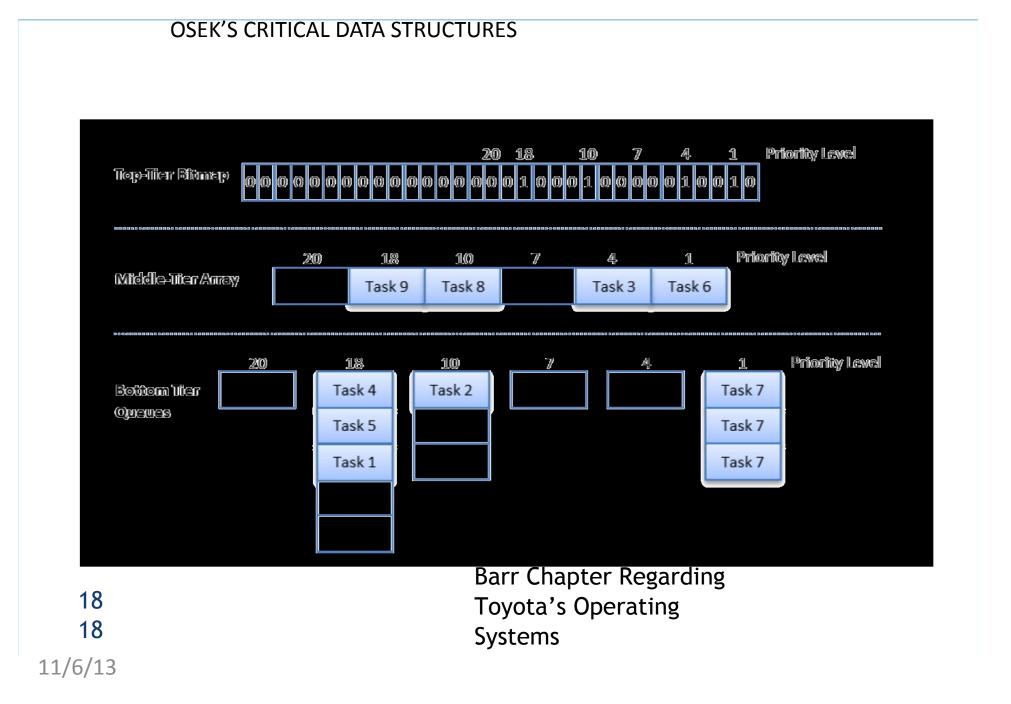
> Barr St. John Report

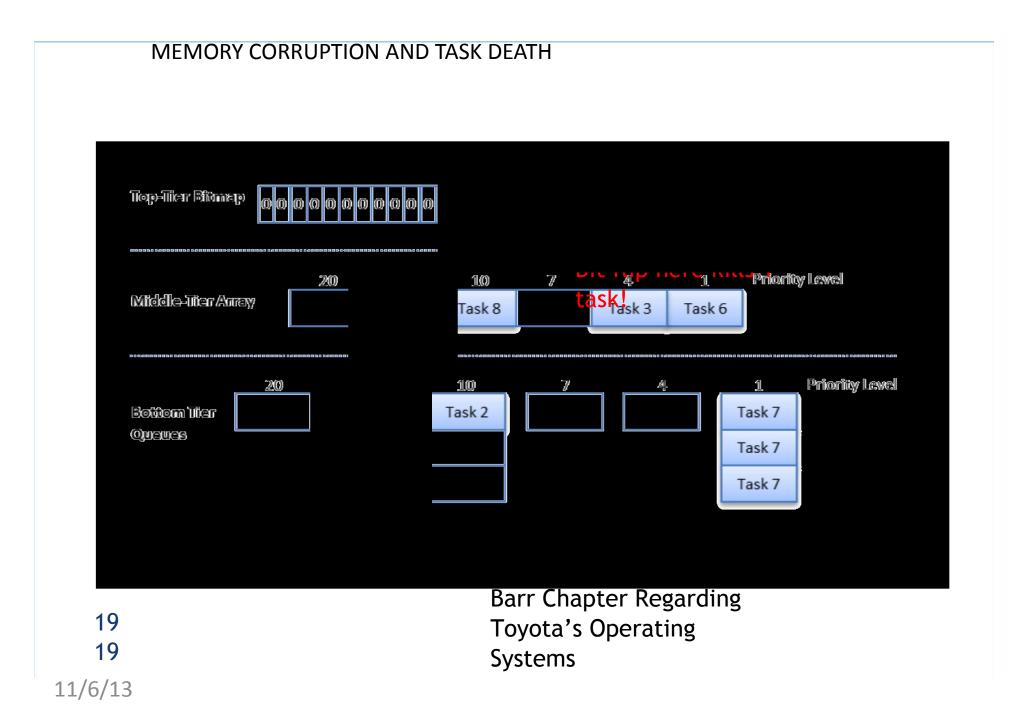
^{11/6}/1⁴he 2005 Camry L4 software has

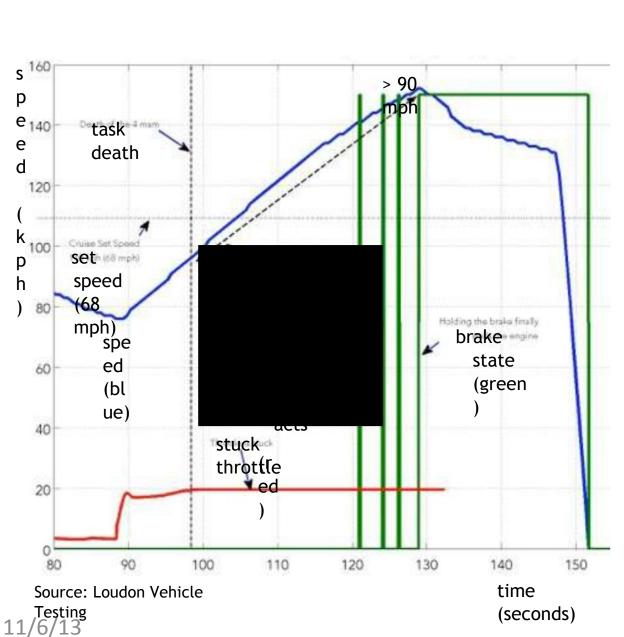




Barr Chapter Regarding Toyota's Operating Systems







EXAMPLE OF UNINTENDED ACCELERATION

>> Representative of task death in realworld \rightarrow Dead task also monitors accelerator pedal, so loss of throttle control \checkmark Confirmed in tests \rightarrow When this task's death begins with brake press (any amount), driver must fully remove foot from brake to end UA \checkmark Confirmed in tests

SOFTWARE CAUSES OF MEMORY CORRUPTION

Type of Software Defect	Causes Memory Corruption?	Defect in 2005 Camry L4?
Buffer Overflow	Yes	Yes
Invalid Pointer Dereference/Arithmetic	Yes	Yes
Race Condition (a.k.a., "Task Interference")	Yes	Yes
Nested Scheduler Unlock	Yes	Yes
Unsafe Casting	Yes	Yes
Stack Overflow	Yes	Yes

Barr Chapter **Regatain**§oftware Bugs

SPAGHETTI CODE DEFINED

space m. A logic 0 cm am IRS-232 link. Any voltage between +3 and +25 V. See also mark.

spaghetti code) m. Incomprehensible source code, typically including appanently meaningless jumps or goiles on a high degree of unnecessary coupling between modules.

spawn willo create a new thread of execution.

SPDT (as letters) abbs: A type of switch that has one actuator (pole) that connects to one of two contacts. Short for Single Pole, Double Throw. Used to select one of two conditions. Compare to SPST. © The schematic symbol for an SPOT switch makes its design and purpose clear.

spec (speck) abbs. See specification.

2 2 11/6/13

Difficult to follow data/control paths >> Bugs likely to appear when modified >> Unnecessarily complex



Ganssle&Barr, **EysbendeDi**ctionary, 2003

TOYOTA'S SPAGHETTI CODE

3. Software assembly for power train ECU

After the 4th Steering Committee, rebuilding of engine control and actions for software assaultly variations for software assaultly variations for software assaultly variations for software assault of the started.

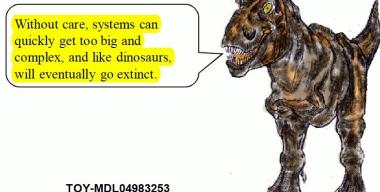
(1) Achievements

- ① Identification of current issues with software assembly Ongoing
- There are C sources for which there is no specification document. (e.g., communication related)
- Specification document and C source do not correspond one-to-one. (e.g., cruise, communication related)
- 2 Activities to improve the spaghetti-like status of engine control application were started.

(Control structure reform has already started in Engine Div. In coordination with this, software structure reform will be carried out. As a first step, it has been decided to transfer two employees from Engine Div. and carry out trial with purge control.)

Because structure design is not being implement, a "spaghetti" state arises, both TMC and suppliers struggle to confirm overall situation

2 TOY-MDL04983219 3 11/6/13



TOY-MDL04983252 TOY-MDL04983252P-0002

Data-flow spaghetti

- Complex coupling between software modules and between
- tasks Count of global variables is a software metric for "tangledness"

2005 Camry L4 has >11,000 global variables (NASA)

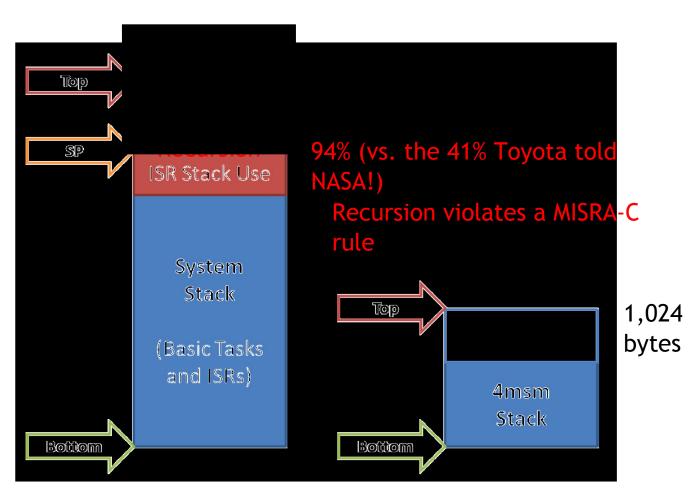
Control-flow spaghetti

Many long, overly-complex function bodies

Cyclomatic Complexity is a software metric for "testability"

- 2 2005 Camry L4 has 67 functions scoring >50 ("untestable")
- 4 The throttle angle function speced over 100
- 11/6/13 (unmaintainable)

STACK ANALYSIS FOR 2005 CAMRY L4



Barr Chapter Regarding Toyota's Stack Analysis

NASA was concerned about possible stack

• Deeply nested recursion could exhaust the stack space, leading to memory corruption and run-time failures that may be difficult to detect in testing.

The question, then, is how to verify that the indirect recursion present in the ETCS-i does in fact terminate (i.e., has no infinite recursion) and does not cause a stack overflow.

For the case of stack overflow, the CPU in the ETCS-i does not have protected memory, and therefore a stack overflow condition cannot be detected precisely. It is likely, however, that overflow would cause some form of memory corruption, which would in turn cause some bad It is not clear what impact recursion has with respect to the larger UA problem. Whether one recursive loop or two, there are other sites of recursion in the ETCS-i that remain unanalyzed.

... and NASA didn't know there was so little safety

Faced with this limitation, Toyota added an extra margin of safety allocating 4096 bytes for the ETCS-i stack—more than double the

NASA, Appendix A, pp. 20, 129-134

11/6/13

2

6

Toyota botched its worst-case stack depth analysis

- Missed function calls via pointers (*failure to automate*)
- Didn't include any stack use by library and assembly functions
- Approximately 350 functions ignored
 HUGE: Forgot to consider OS stack use for context switching!

safety critical embedded software.

On top of that... Toyota used dangerous recursion

A safety check that the cheaper 2005 Corolla ECM had!

2 7 Barr Chapter 11/6/13 And... Toyota failed to perf&egardiugnFøjenæsstack

Operating System Standards

"OSEK" is an international standard API
Specifically designed for use in automotive
software Multiple suppliers of OSEK operating
systems

Compliance tests ensure compatibility across versions

Barr Chapter Regarding But Toyota's Rx-OSEK850 version is non-11/6<u>standard!!!</u> Automotive Industry Coding Guidelines

MISRA-C - motor industry <u>software reliability</u> coding rules for C
 By 2004, "the successes and <u>global use of MISRA-C</u> across <u>automotive</u>, aerospace, medical, and other industries has been
 <u>staggering.</u>"

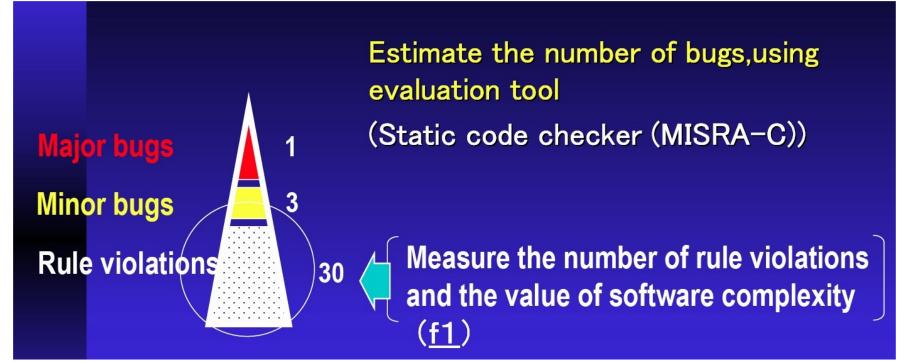
"In Japan, we have worked with representatives of JSAE, JAMA, ..."

From 2002-2004, Toyota said in public they followed MISRA-C But NASA reported > 7,000 violations of *some* of the rules (p. 29) I checked the full set and found > 80,000 in violations in 2005 Camry L4

2Barr Chapter Regarding2Toyota's MISRA-C9Violations

^{11/6}Toyota's coding standard only has 11 MISRA-C rules

In the words of Toyota



3 0 11/6/13

VANALFEN006972 (Kawana, 2004)

Internal Coding Standards

Toyota maintains a set of <u>company internal coding rules</u>

- Specifically for "power train" ECM software developers to follow
- Mr. Ishii's statement about 50% MISRA-C overlap was found false

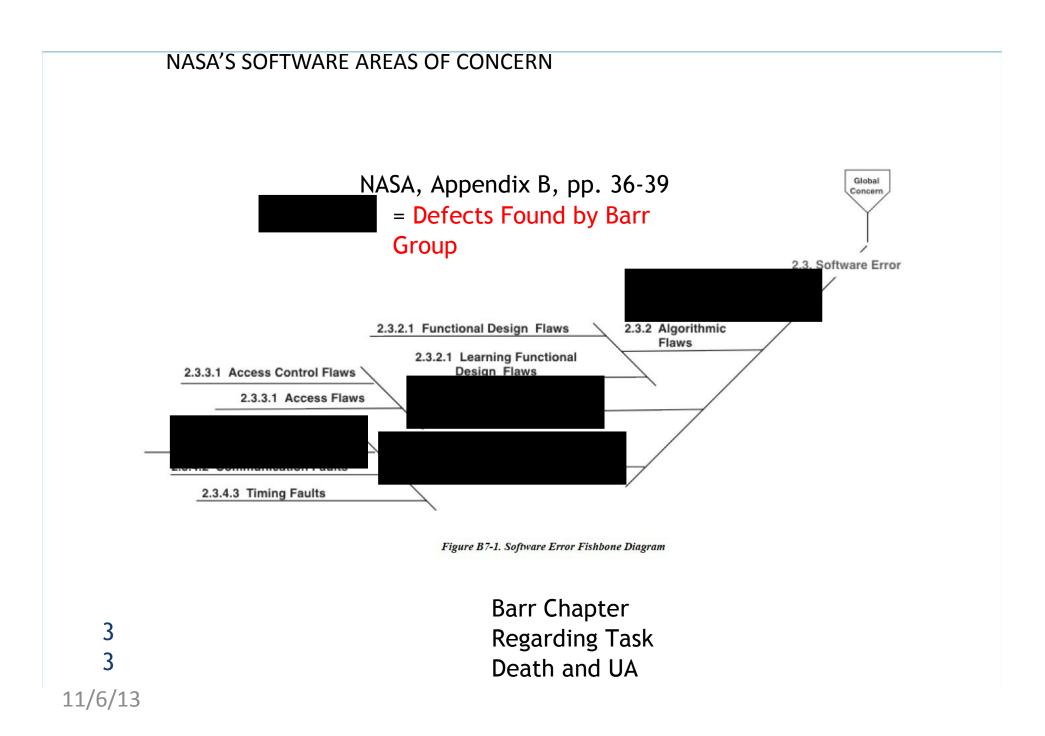
NASA reported Toyota didn't follow *some* of its rules (p. 22) I found at least 32% of Toyota's coding rules were violated

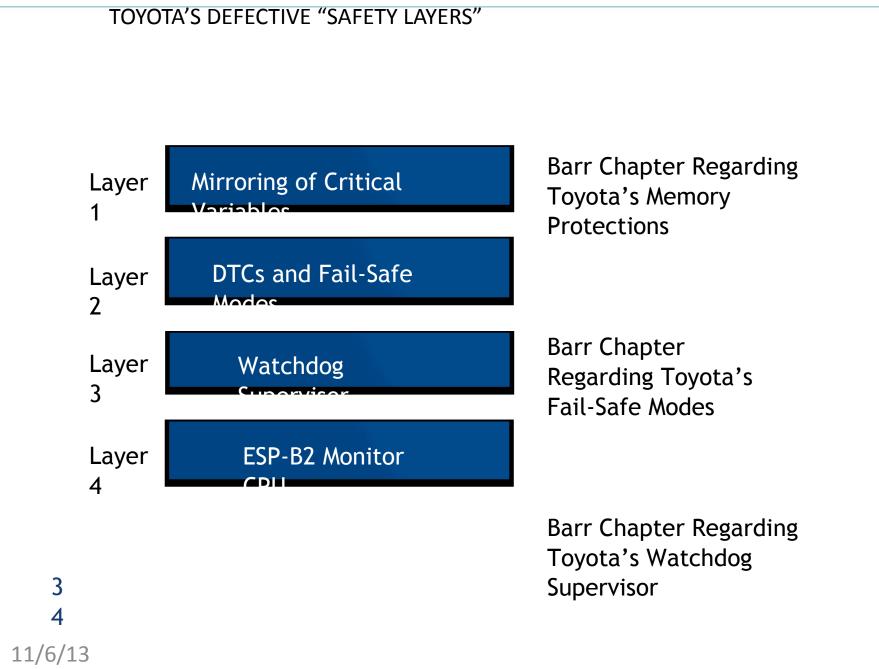
Enforcement is the most important part of having a rule 3 Toyota's Coding 1 Demonstrates lack of enginetender discipline at Toyota 11/6/13 Part of a larger pattern of inadequate software

A: <u>When it comes to software, there are going to be</u> <u>bugs,</u> and [that] is the case not just with Toyota but with [any] software in the automotive industry and any software. So <u>the issue is not whether or not</u> <u>there is a bug but rather is the bug an important</u> <u>material bug.</u>

- Ishii 5/24/12 Deposition, p. 91

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Barr Chapter
3 Regarding Toyota's
Andeed there <u>are</u> bugs, incloding ergortant material
<sup>11/6/13</sup>gs"
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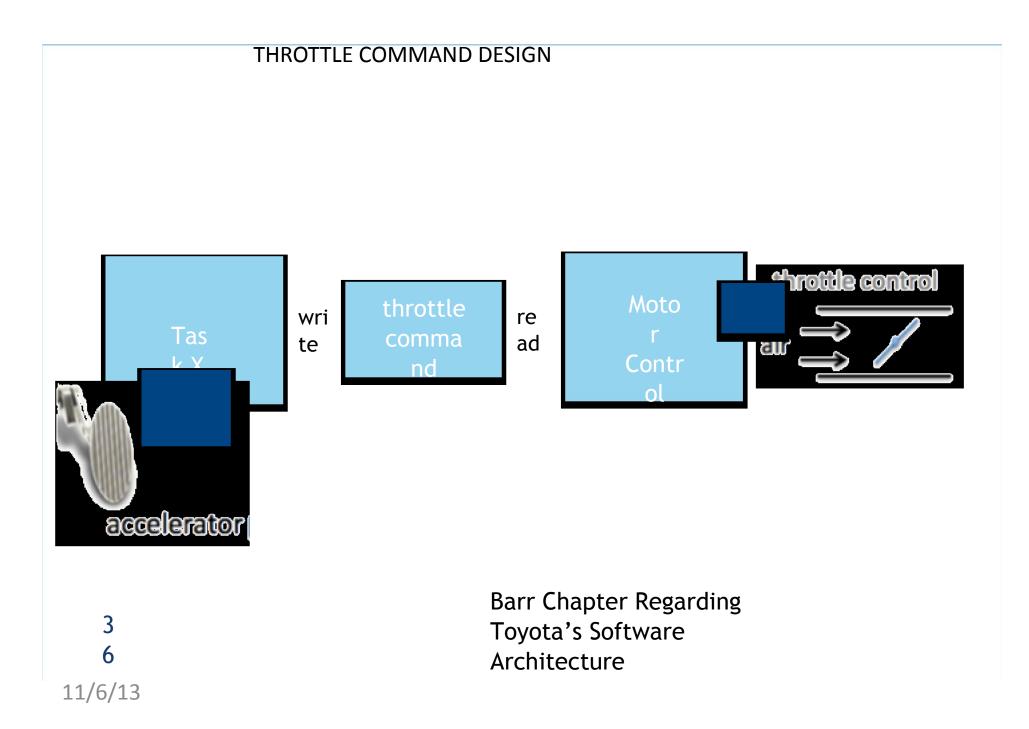


Barr Chapter

Toyota's engineers sought to protect numerous variables against software- and hardware-caused corruptions

e.g., by "mirroring" their contents in a 2nd location





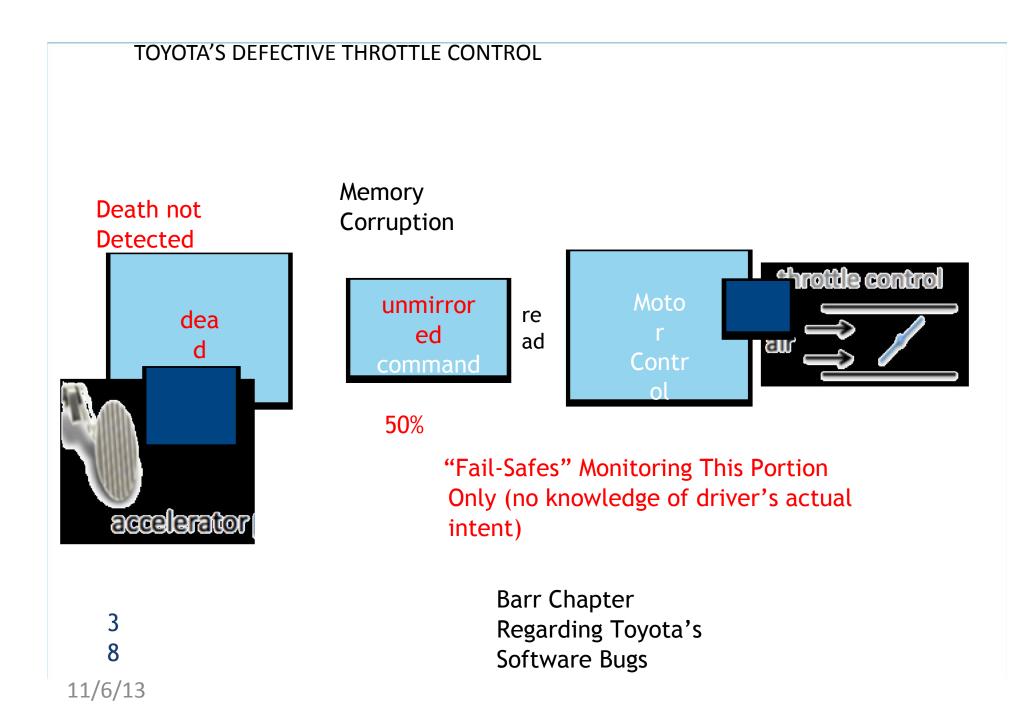
Task X death causes loss of throttle control by driver

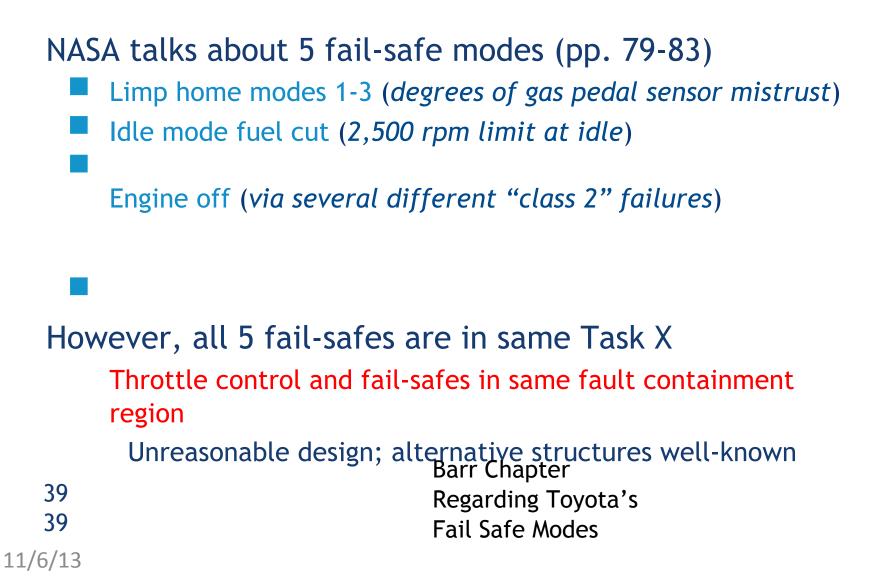
- Changes at the accelerator pedal have no effect on throttle
- angle Cruise control switches have no effect

Motor Control Task continues to drive throttle motor; engine powered

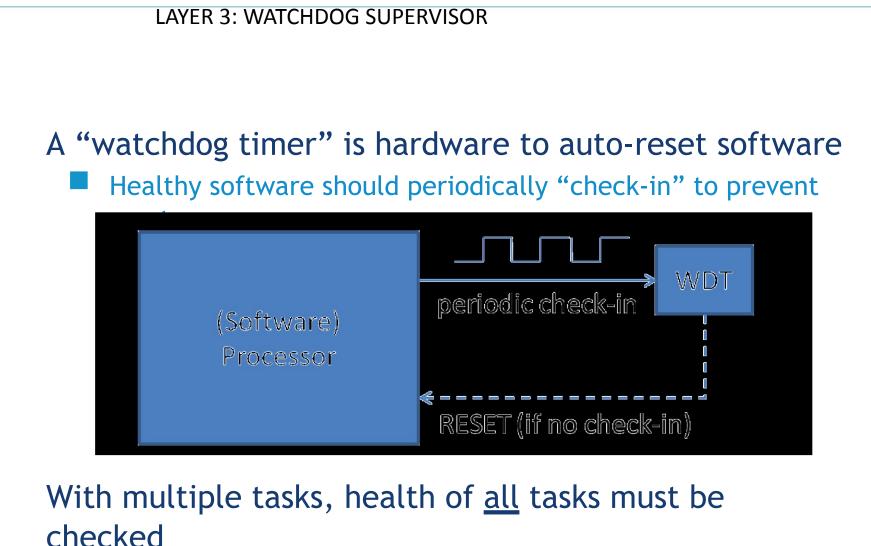
Throttle could stick at last computed *throttle command*, or Change angle via corruption of *throttle command* global variable

Barr Chapter 3 Regarding Task 7 Death and UA One corruption event can cause task death <u>and</u> open 11/6/13 throttle





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	Barr Chapter Regarding
40	Toyota's Watchdog
40	Supervisor
11/6/13	•

Toyota's watchdog supervisor design is unreasonable Incapable, ever, of detecting death of majority of tasks Incapable of properly and reliably detecting <u>CPU overload</u> Allows vehicle misbehavior due to overloads lasting up to 1.5s Resets the watchdog timer hardware in a timer tick ISR Explicitly ignores and discards most operating system error codes

Ignoring error codes violates a MISRA-C rule (1998: #86; 2004: #16.10)

Reasonable design alternatives were well known Indeed the primary purpose should've been to detect task death Barr Chapter Regarding 41 2005 Prius (HV-ECU) watchdog is better Supervisor 11/6/13

"System Guards"

All (3) useless after Task X death (don't know driver intent)

"Brake Echo Check"

Depends on the driver to take action—<u>after UA has already</u> begun!

Sometimes a counter-intuitive/dangerous action

Clearly this is not a "designed" fail-safe for UA or task death Takes the wrong actions (should've reset ECM not stalled

42 car 42

car) Not 100% reliable Regarding Toyota's Monitor CPU

11/6/13

Description of all marine CDU markers attacks

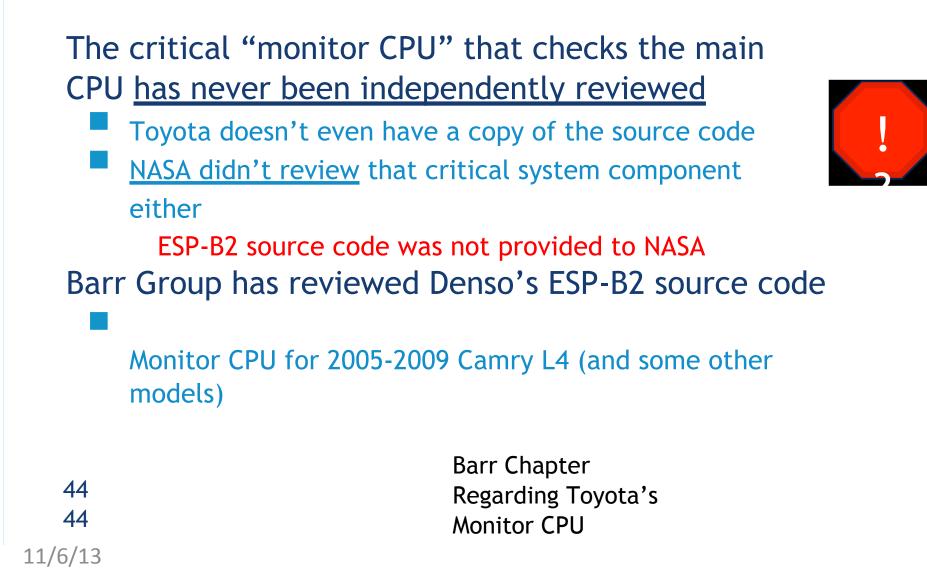
A: With respect to [the monitor CPU], the development process is completely different. <u>When it</u> <u>comes to the source code</u> that would be embedded in [the monitor CPUs] <u>we, Toyota, don't receive them.</u> ... <u>there would not be a design review done on the</u> <u>software.</u>

Q: Now, the <u>monitoring software for the electronic</u> <u>throttle control system</u> is in the [] ESP-B2 chip; correct?

A: Yes.Barr Chapter43Regarding Toyota's43Monitor CPU

11/6/13

- Ishii 5/24/12 Deposition, pp. 36-37

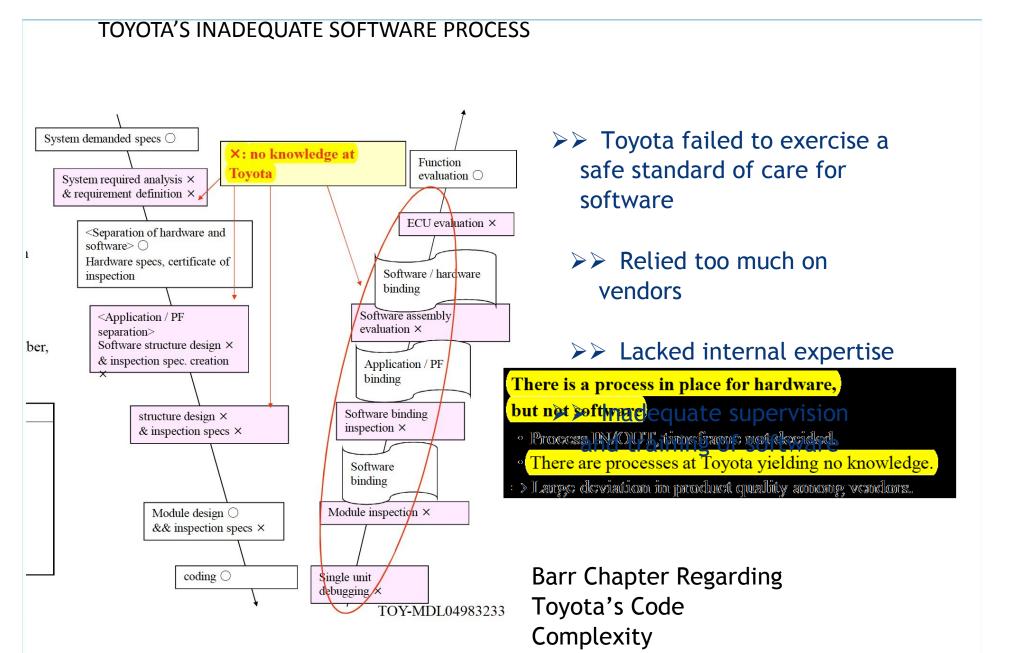


But ESP-B2 monitor CPU <u>could have</u> included a proper UA defense:

- IF (driver is braking & throttle is not closing) THEN reset ECM Something is not right with the main CPU when that happens! Resets of main CPU barely noticeable at speed
- (brief rpm drop)
- CRITICAL to ending UA in vehicles with potential vacuum loss

Per car cost to add this safety feature is \$0.00 (it's just bits) There was enough memory and CPU bandwidth for these instructions All of the required electrical inputs and outputs were already present In line with E-Gas Level 1 recommendations Regarding Toyota's Monitor CPU FMEA was incomplete; single points of failure are present
Because: Toyota didn't adopt a formal safety process
Peer reviews not done on OS code and ESP-B2 code
Because: Toyota didn't perform code reviews; used non-standard OSEK
Toyota's own "power train" coding standard not enforced Because: Toyota didn't follow through with software suppliers

Watchdog supervisor doesn't detect most task's deaths Generally costs less to push the limits than upgrade to faster CPU No EDAC protection against hardware bit flips Generally costs less to make memory chips without EDAC



TOYOTA'S DEFECTIVE SAFETY CULTURE

From: Hideo Inoue. To: [-] Masashi Takagi. Cc: [-] Shigeyuki Kawana; hosotani@n1hs.tec.toyota.co.jp. Bcc: [-] . Subject: Re: A quick report on Vice President Takimoto's failsafe progress report. Sent: 9/26/2007 10:15 PM.

This is Inoue.

Kawana-san

What Takagi-san has written is right. Takimoto-san was Positive [[sic]] to us. In truth, technology such as failsafe is not part of the Toyota Engineering division's DNA, but isn't it good that it is recognized as one of the major strengths of Toyota in the system controls industry?

This time it is just an explanation, but I would definitely like him to experience it on an actual vehicle. Inputting this kind of information to Takimoto-san is important and I think passing along information with relative frequency is a good thing. It looks like he would become a good supporter so I believe at some point it is a good idea to pass our wishes along to executives.

In truth, technology such as failsafe is not part of the Toyota Engineering division's DNA, but isn't it good that it is recognized as one of the major strengths of Toyota in the system controls industry?

However, thinking about the future, continuing on as is will not be a good thing. We will need to benchmark companies such as Bosch to gauge shortcomings and strengths.

> TOY-MDL016058888P-0001

NASA SOUGHT WHAT BARR GROUP FOUND

1) Conditions necessary for Failure to Occur, Failure Mode	2) Failure Conditions and Failure symptoms found in Real World? Note 1	3) Physical or Electronic Evidence, Failure Detection	4) Range of throttle opening
Software unilaterally opens throttle with Accelerator released, Idle Fuel Cut not active, Watchdog serviced, no EDAC error, Sub-CPU does not Detect Failure	No, Cannot engineer a test. No place found in software where a single memory/variable corruption results in a UA	Theoretical Fault Escapes Detection	Openings up to wide open throttle conceptualized although not found in real world

"Single memory corruption results in

UA" "Fault escapes detection"

- "No EDAC error" (because there is no EDAC!)
- "Idle fuel cut not active" (because in same
- *task*) "Watchdog serviced" (*because defective*
- Mesign) CPU "does not detect failure" (because not designed to)

"Openings up to wide open throttle"

49	Barr St. John
49	Report

NASA p. 78

Safety critical systems shouldn't have single points of failure

This is the normal mode of design in automotive industry

Toyota tried to mitigate such risks, including in software But <u>missed some dangerous single points of failure</u> Failed to prevent or contain faults ...

50 50 11/6/13 There are single points of failure in the ETCS Some demonstrated in 2005 and 2008 Camry L4 vehicles Report Unpredictable range of vehicle misbehaviors via task death Other memory corruptions can be expected

INDIVIDUAL TASK DEATH OUTCOMES

(Watchdog should have detected them all!)

1 ms	ECM Reset	spark on
task	(watchdog)	cyl. 4
wheel	Not	spark off
speed	Detected	cyl. 4
crank	Not	fuel
speed	Detected	injection
engine	Not	10
speed	Detected	taşk
sigma	stall (comm.	30
task	Check)	med
motor	if accel change stall (sys	Tas
control	guards)	k X
spark on	Not	duty
cyl. 1	Detected	solenoid
spark off	Not	rcv a
cyl. 1	Detected	task
spark on	Not	rcv b
cyl. 2	Detected	task
spark off	Not	8 ms
cyl. 2	Detected	task
spark on	Not	30
cyl. 3	Detected	low
spær≰ off cyl. 3	Not Detected	idle task

Sources: Arora and Loudon Vehicle Testing; source code

Legend: "Not Detected" means in at least one vehicle test.

(echo) stall

(echo)

Not

stall

Detected Not

Detected

(mechanical) Not

Detected stall

(mechanical) if brake change cut-stall

> Detected if accel change cut

(echo) if brake change cut

(immobilizer)

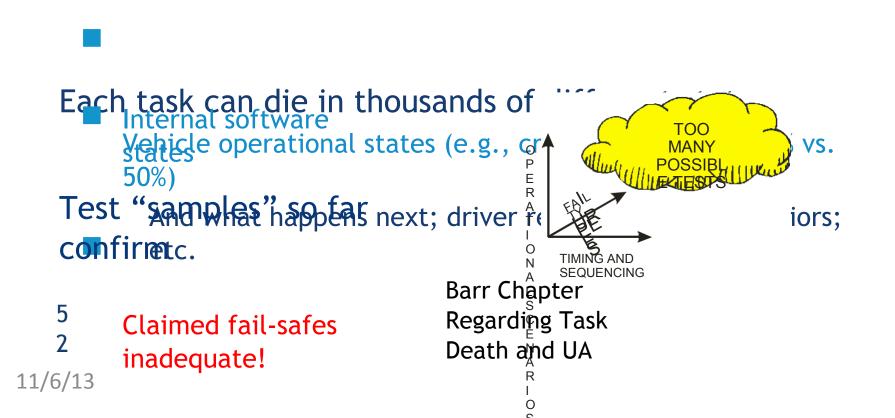
Detected Not Detected

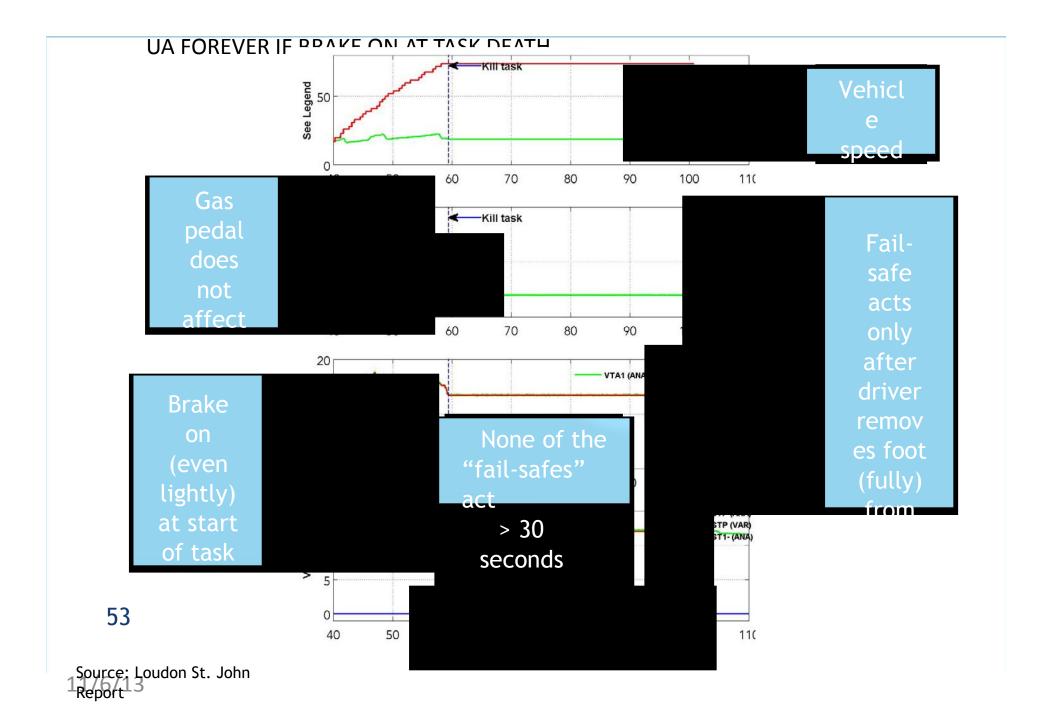
Not



There are >16 million combinations of task death

Memory corruption can kill 1, 2, or all 24





ETCS misbehavior is more likely than other causes

- Car should have stopped in less distance if throttle not open
- (*McCort*) Eyewitness testimony of alert driver using brakes (*Mrs. Schwarz*)
 - No evidence of pedal entrapment by a floor mat (*photos*) No mechanical problems found at any vehicle inspection (*experts*)

Cannot identify with 100% certainty the <u>specific</u> software defects

- Toyota's software design "deletes" evidence of software problems
 - Restart car and engine is fine (Toyota should have logged errors)
- 5 4

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<sup>1/6</sup>More likely than not undetected Task X death
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Vehicles with substantially similar ETCS software e.g., 2005-2009 Camry

Incidents with no apparent mechanical cause Lack of support for floor mats trapping accelerator pedal No indication of any mechanical issue before or after

OSI Sources: NHTSA complaint database, Toyota FTRs, claims Driver and witness statements describe UA And no evidence contradicting correct use of pedals

ETCS contains "layers of protection" (Jul 2012)

True, but misses the key point: there are gaps thru those layers

Brake echo is a "designed fail-safe" (Sep 2012-Aug 2013)

No, IF it were "by design" the fail-safe

would NOT require the driver to act <u>before</u> the fail-safe! would "It depends on how mouth for the fail-safe! would 2013) counter-intuitive (in an emergency!) and likely to increase (!) risk of harm

11/6763 would NOT stall the engine (given ECM reset is correct & safer)

My Operating System opinions/chapter My Software Bugs opinions/chapter **My Memory Protections** opinions/chapter My Software Architecture opinions/chapter My Watchdog Supervisor opinions/chapter My Fail-Safe Modes opinions/chapter My MISRA-C Violation opinions/chapter My Coding Standard MoistionisDr.hkpopmay Gode 6pinjales/itepopinions/chapter My Stack Analysis opinions/chapter 11/6713